

AGENTS OF
CHANGE FOR
EQUITY



How to Make Good Use of ESSA Federal Funds

July 31, 2019

2019-20 ESSA Consolidated Application

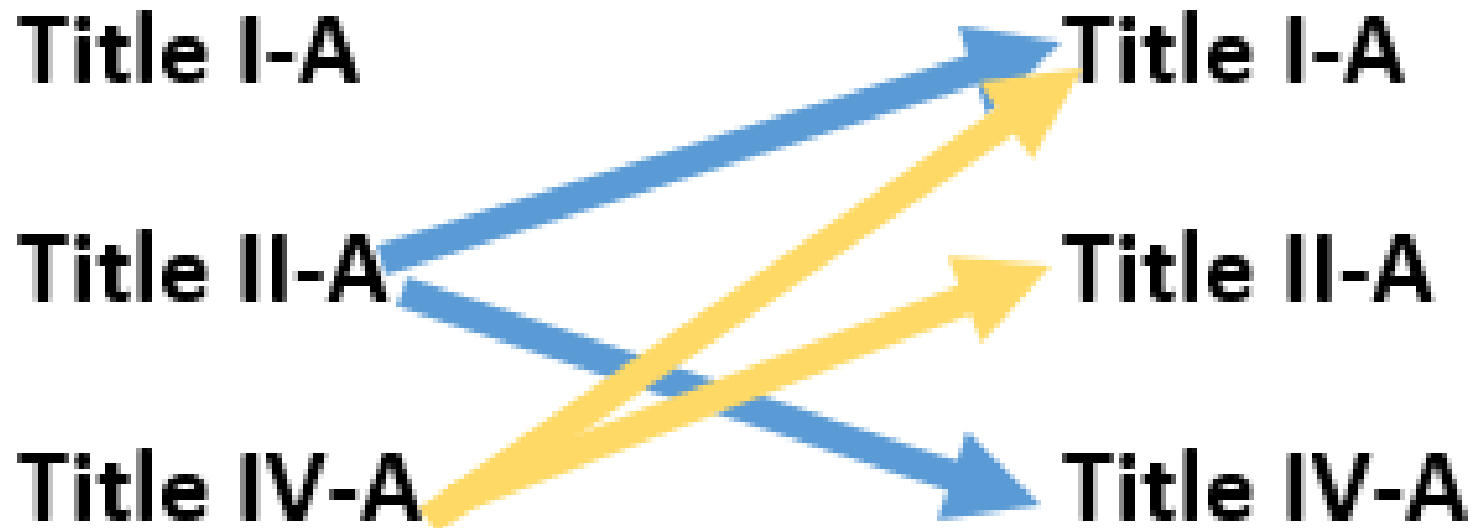
- Is now available. Due date: September 12, 2019.
- Includes Title I-A, Title I-D, Title II-A, Title III-EL, Title III-IE, & Title IV-A.
- Focus is on supporting the AQuESTT tenets:
 - **Student Success and Access**
 - Positive partnerships, relationships, and student success
 - Transitions
 - Educational opportunities and access
 - **Teaching and Learning**
 - College and Career Ready
 - Assessment
 - Educator Effectiveness

District Goals and Needs

- Nine goals:
 - Goals 1-6 focus on AQuESTT tenets; goals 7-9 meet federal requirements.
- Think about how federal funds can supplement state and local funds to support the goals.

What does it mean to transfer funds?

- ESSA allows funds from one program to be used for the purposes of another.



**Funds can't
be
transferred
out of Title I.**

Should my district transfer funds from one program to another?

- That depends...
- What does the district's Needs Assessment indicate?
 - Is there a specific need that is supported by the federal grant program?
- Are there nonpublic schools located within the boundaries of the district that have indicated they wish to participate in Title II-A and/or Title IV-A?
 - If the answer is *YES*, then the public district must provide a written statement from the nonpublic that they agree with the public district transferring the funds out of Title II-A and/or Title IV-A.

Why should my district consider transferring funds?

- Determine whether sufficient grant funds available to carryout the goals of the program?

	TitleI-6200	TitleID-NorD-6230	TitleII-A-6310	TitleIII-EL-6925	TitleIII-ImmigrantEd-6926	TitleIV-A-SSAE-6969
Current Year Funds						
Allocation	\$106,090	\$0	\$33,153	\$596	\$0	\$10,000
ReAllocated (+)	\$0	\$0	\$0	\$0	\$0	\$0
Released (-)	\$0	\$0	\$0	\$0	\$0	\$0
Total Current Year Funds	\$106,090	\$0	\$33,153	\$596	\$0	\$10,000
Prior Year(s) Funds						
Carryover (+)	\$0	\$0	\$0	\$0	\$0	\$0
ReAllocated (+)	\$824	\$0	\$396	\$0	\$0	\$0
Released (-)	\$824	\$0	\$0	\$0	\$0	\$0
Total Prior Year(s) Funds	\$824	\$0	\$396	\$0	\$0	\$0
Sub Total	\$106,914	\$0	\$33,549	\$596	\$0	\$10,000
Multi-District						
Transfer In (+)	\$0	\$0	\$0	\$0	\$0	\$0
Transfer Out (-)	\$0	\$0	\$0	\$596	\$0	\$0
Administrative Agent				000-0004		
Adjusted Sub Total	\$106,914	\$0	\$33,549	\$0	\$0	\$10,000

This district opted to transfer their Title IV-A allocation of \$10,000 to Title I. They have three Title I teachers, so they felt the \$10,000 would be better used for Title I purposes.

Complete the section below to notify the SEA that the applicant is transferring or flexing funds per the provisions of the State and Local Transferability Act. Guidance on Transferability is available at <http://www.ed.gov/programs/transferability/finalsummary04.doc>. Guidance on REAP/Flex is available at <http://www.ed.gov/policy/elsec/guid/realp03guidance.doc>

Funds Available for Transfer/Flex	TitleI-6200	TitleID-NorD-6230	TitleII-A-6310	TitleIII-EL-6925	TitleIII-ImmigrantEd-6926	TitleIV-A-SSAE-6969
Applicable Percentage	0%	0%	100%	0%	0%	100%
Current Year	\$0	\$0	\$33,549	\$0	\$0	\$10,000
Cap for Carryover						
Total Available for Transfer/Flex	\$0	\$0	\$33,549	\$0	\$0	\$10,000
From TitleII-A-6310 (+)						0
From TitleIV-A-SSAE-6969 (+)	10000		0			
Total Transfer/Flex	\$10,000	\$0	\$0	\$0	\$0	(\$10,000)
Release Remaining Funds to NDE	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Net Adjustment	\$10,000	\$0	\$0	\$0	\$0	(\$10,000)
Total Available for Budgeting	\$116,914	\$0	\$33,549	\$0	\$0	\$0
	TitleI-6200	TitleID-NorD-6230	TitleII-A-6310	TitleIII-EL-6925	TitleIII-ImmigrantEd-6926	TitleIV-A-SSAE-6969

Should we keep the funds in the original grant program?

- If the district receives sufficient ESSA grant funds to support the district's goals (AQuESTT, Continuous Improvement, etc.), that fit with the purpose of the grant, then you will want to keep the funds in the original grant.
- Each grant has a specific purpose.
 - Does this purpose fit with the District's Needs Assessment or Continuous Improvement Plan (CIP)?
- Consider what would be lost if the funds move to a different program. E.G. Loss of professional development funded with Title II-A funds.

Can funds be assigned to an ESU?

- Yes, if the ESU provides a multi-district agreement (cooperative or consortium).

This District assigned their Title I & Title II-A funds to ESU 9. ESU 9 did not have a consortium or cooperative for Title IV-A, therefore the district kept those funds.

	TitleI-6200	TitleID-NorD-6230	TitleII-A-6310	TitleIII-EL-6925	TitleIII-ImmigrantEd-6926	TitleIV-A-SSAE-6969
Current Year Funds						
Allocation	\$52,767	\$0	\$15,581	\$0	\$0	\$10,000
ReAllocated (+)	\$0	\$0	\$0	\$0	\$0	\$0
Released (-)	\$0	\$0	\$0	\$0	\$0	\$0
Total Current Year Funds	\$52,767	\$0	\$15,581	\$0	\$0	\$10,000
Prior Year(s) Funds						
Carryover (+)	\$0	\$0	\$0	\$0	\$0	\$0
ReAllocated (+)	\$0	\$0	\$0	\$0	\$0	\$0
Released (-)	\$0	\$0	\$0	\$0	\$0	\$0
Total Prior Year(s) Funds	\$0	\$0	\$0	\$0	\$0	\$0
Sub Total	\$52,767	\$0	\$15,581	\$0	\$0	\$10,000
Multi-District						
Transfer In (+)	\$0	\$0	\$0	\$0	\$0	\$0
Transfer Out (-)	\$52,767	\$0	\$15,581	\$0	\$0	\$0
Administrative Agent	000-0009		000-0009			
Adjusted Sub Total	\$0	\$0	\$0	\$0	\$0	\$10,000
Complete the section below to notify the SEA that the applicant is transferring or flexing funds per the provisions of the State and Local Transferability Act. Guidance on Transferability is available at http://www.ed.gov/programs/transferability/finalsummary04.doc . Guidance on REAP/Flex is available at http://www.ed.gov/policy/elsec/guid/reap03guidance.doc						
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Current Year	\$0	\$0	\$0	\$0	\$0	\$10,000
Cap for Carryover						
Total Available for Transfer/Flex	\$0	\$0	\$0	\$0	\$0	\$10,000
From TitleII-A-6310 (+)						0
From TitleIV-A-SSAE-6969 (+)						
Total Transfer/Flex	\$0	\$0	\$0	\$0	\$0	\$0
Release Remaining Funds to NDE	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Net Adjustment	\$0	\$0	\$0	\$0	\$0	\$0
Total Available for Budgeting	\$0	\$0	\$0	\$0	\$0	\$10,000
	TitleI-6200	TitleID-NorD-6230	TitleII-A-6310	TitleIII-EL-6925	TitleIII-ImmigrantEd-6926	TitleIV-A-SSAE-6969

Why assign funds to an ESU?

- A single district may not have adequate funds to pursue an activity on their own.
- If multiple districts have similar goals for a specific program, such as Title II-A professional development, it makes some sense to combine the funds for a common purpose.
 - District may/may not receive services equal to the amount of funds it contributes to the consortium.
- The ESU, with input from participating districts, makes decisions on behalf of all participants.

Can we partner with a neighboring district?

- Absolutely!
- If neighboring districts have similar needs and want to partner on professional development and/or other activities, there's no reason they can't work together.
- If working with a vendor, have them split the costs between the two districts using a method that is mutually agreeable.
- This may also be feasible for nonpublic schools participating in Title II-A or Title IV-A.

Can Title I funds be used to help students under the *Nebraska Reading Improvement Act*?

- Yes.
- June 2019 *Supplement Not Supplant (SNS)* Informational Document states:

SUPPLEMENT NOT SUPPLANT IN GENERAL

A State educational agency or local educational agency shall use Federal funds received under [Title I, Part A] only to supplement the funds that would, in the absence of such Federal funds, be made available from State and local sources for the education of students participating in programs assisted under [Title I, Part A], and not to supplant such funds.

(ESEA section 1118(b)(1))

No local educational agency shall be required to—

- A. Identify that an individual cost or service supported under [Title I, Part A] is supplemental; or
- B. Provide services under [Title I, Part A] through a particular instructional method or in a particular instructional setting in order to demonstrate such agency's compliance with [the supplement not supplant requirement].

(ESEA section 1118(b)(3))

- This provision previously applied only to a schoolwide program. Under ESSA, this provision now applies to *all* Title I schools, including targeted assistance schools.
- Supplanting is no longer determined based on the specific use of Title I, Part A funds. Rather, it is determined by the allocation of State and local resources to schools and whether such resources are allocated without regard to a school's Title I status.
- To demonstrate compliance with the SNS requirement, an LEA shall demonstrate that the methodology used to allocate State and local funds to each school receiving Title I-A funds ensures that such school received all of the State and local funds it would receive if it were not receiving Title I-A funds. *ESEA § 1118(b)(2)*

Keep in mind...

- The Elementary and Secondary Education Act ((ESEA) requires an LEA to use Title I, Part A funds only for allowable costs, even if the LEA complies with the supplement not supplant requirement. **The type of Title I program determines whether a cost is allowable:**
 - In a **schoolwide program**, Title I funds may be used to upgrade the entire educational program in the school and benefit all students, provided the cost is consistent with the school's comprehensive needs assessment and included in the school's comprehensive schoolwide plan. (ESEA §1114(a)(1))
 - In a **targeted assistance program and district-level activities**, the ESEA requires Title I, Part A funds to be used only to serve students who are failing, or most at risk of failing, to meet the State's challenging academic standards. (§1115(a))
 - Costs must be "**necessary and reasonable**" for proper and efficient administration of the Title I program. (2 C.F.R. §200.403)

How can our district best meet the needs of ALL students knowing that federal funds must be used to supplement, and not supplant, non-Federal funds that would otherwise be used for activities?

- Are there students or groups of students that have similar needs?
 - Look at services already being provided, then consider what supplemental or additional services could be federally funded and considered to meet those needs.
- Do we have a teacher mentoring program?
 - Perhaps Title II-A funds could be used to implement or expand an existing program.
- Do we have a social worker or counselor to meet specific needs of students?
 - Consider utilizing Title IV-A funds to add or enhance services already being provided.

(Cont.)

- Are before or after school tutoring or academic help sessions being provided? Summer school?
 - Why not use Title I-A funds?
- Can we provide programming and increase access to improve instruction and student engagement in science, technology, engineering, and mathematics (STEM), including computer science?
 - These can be provided using Title IV-A funds.
- How do you promote community and parent engagement in your schools?
 - Title I-A, Title III, and Title IV-A funds can be used for this purpose.
- Kids are all about technology. Do your teachers know how to integrate technology into their lessons?
 - Consider using Title II-A or IV-A funds for some professional development.

When Can Federal Funds be Spent/Obligated?

• **Project Start Date**

- The beginning date when funds can be obligated for approved grant award activities.
- Cannot precede (1) **the receipt of an approvable application with a budget request for funds for formula grant programs** or (2) notification of approval and issuance of the grant award notification (GAN) for competitive and discretionary grants
- Cannot precede the beginning of the fiscal year for which the funds are appropriated.
- The project start date is included on the GAN.

• **Approval Date**

- The date when the application is approved.
- **Formula grant recipients can begin obligating funds upon approval and issuance of the GAN subject to the limitations of the project start date.**

• **Project Ending Date**

- The last date that funds can be obligated or spent on an approved project
 - All activities for a project must be completed with the project starting (approval) and ending dates.

Can Funds be Obligated Prior to Approval of the Grant?

- **Yes**; if it is a **continuing activity** from the previous school year.
 - E.G. Paying the salary of a Title I teacher.
 - E.G. Professional Development that is continued from the previous school year; such as Marzano training
- **Yes**; if prior approval is requested and approved via email.
- **No**; if not a continuing activity and pre-approval is not requested and approved.

When Should Reimbursements be Requested?

- NDE recommends requesting reimbursements at least once each semester.
 - This is one way to make good use of federal funds.
- To speed up the review process when requesting reimbursement, include appropriate documentation.
- When entire amount of grant has been spent, Final Reimbursements can be requested—any time during the school year.
- **For Districts with remaining 2017-18 Title I Accountability funds, please spend those funds and request Final Reimbursements as soon as feasible. These funds expire soon!**

Resources

- NDE Federal Programs website:
<https://www.education.ne.gov/federalprograms/Index.html>
- NDE ESSA website:
<https://www.education.ne.gov/ESSA/index.html>
- USDE ESSA website:
<https://www2.ed.gov/policy/elsec/leg/essa/index.html>

--2019-20 ESEA/ESSA I REVIEWER ASSIGNMENTS --

<u>ESU</u>	<u>ESSA REVIEWER</u>	<u>Phone</u>	<u>Email</u>
1	Adrian Bowen	402-471-2968	adrian.bowen@nebraska.gov
2	Pat Frost	402-471-2478	pat.frost@nebraska.gov
3	Kirk Russell	402-471-2741	kirk.russell@nebraska.gov
4	Allyson Olson	402-471-4694	allyson.olson@nebraska.gov
5	Pat Frost	402-471-2478	pat.frost@nebraska.gov
6	Adrian Bowen	402-471-2968	adrian.bowen@nebraska.gov
7	Pat Frost	402-471-2478	pat.frost@nebraska.gov
8	Tim Vanderheiden	402-471-1749	timothy.vanderheiden@nebraska.gov
9	Cathy Mohnike	402-471-1419	cathy.mohnike@nebraska.gov
10	Cathy Mohnike	402-471-1419	cathy.mohnike@nebraska.gov
11	Jan Handa	402-471-0876	jan.handa@nebraska.gov
13	Tim Vanderheiden	402-471-1749	timothy.vanderheiden@nebraska.gov
15	Tim Vanderheiden	402-471-1749	timothy.vanderheiden@nebraska.gov
16	Cathy Mohnike	402-471-1419	cathy.mohnike@nebraska.gov
17	Kim Larson	402-471-4824	kim.larson@nebraska.gov
18	Tim Vanderheiden	402-471-1749	timothy.vanderheiden@nebraska.gov
19	Kirk Russell	402-471-2741	kirk.russell@nebraska.gov

